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# INDELseek: detection of complex insertions and deletions from next-generation sequencing data

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# **Abstract**

**Background:** Complex insertions and deletions (indels) from next-generation sequencing (NGS) data were prone to escape detection by currently available variant callers as shown by large-scale human genomics studies. Somatic and germline complex indels in key disease driver genes could be missed in NGS-based genomics studies.

**Results:** INDELseek is an open-source complex indel caller designed for NGS data of random fragments and PCR amplicons. The key differentiating factor of INDELseek is that each NGS read alignment was examined as a whole instead of "pileup" of each reference position across multiple alignments. In benchmarking against the reference material NA12878 genome (n = 160 derived from high-confidence variant calls), GATK, SAMtools and INDELseek showed complex indel detection sensitivities of 0%, 0% and 100%, respectively. INDELseek also detected all known germline (BRCA1 and BRCA2) and somatic (CALR and JAK2) complex indels in human clinical samples (n = 8). Further experiments validated all 10 detected KIT complex indels in a discovery cohort of clinical samples. In silico semi-simulation showed sensitivities of 93.7–96.2% based on 8671 unique complex indels in >5000 genes from dbSNP and COSMIC. We also demonstrated the importance of complex indel detection in accurately annotating BRCA1, BRCA2 and TP53 mutations with gained or rescued protein-truncating effects.

**Conclusions:** INDELseek is an accurate and versatile tool for complex indel detection in NGS data. It complements other variant callers in NGS-based genomics studies targeting a wide spectrum of genetic variations.

Keywords: Complex indel, Variant calling, Bioinformatics, Next-generation sequencing

# **Background**

Complex insertions and deletions (indels) are a known class of genetic variation [1] associated with human diseases [2]. Simultaneous deletion and insertion of DNA fragments of different sizes lead to net change in length. No net change in length is also possible in case of contiguous or non-contiguous multiple-nucleotide variants (MNV). Compared with lower-throughput Sanger sequencing, analysis of next-generation sequencing data relies more on bioinformatics algorithms for automated variant calling. Of concern, recent studies revealed the shortcomings of state-of-the-art variant callers that might fail to detect somatic and germline complex indels [3, 4]. Important mutations in key disease driver genes

could be missed in NGS-based genomics studies (e.g. somatic *CALR* complex indels in myeloproliferative neoplasms [5] and germline *BRCA1/BRCA2* complex indels in hereditary breast and/or ovarian cancer [6]).

Pindel-C [3] was introduced to detect the complex indels missed by GATK [7] and VarScan [8] but the implementation was not yet publicly available. Amplicon Indel Hunter [9] and ScanIndel [10] were designed for those that led to >5 bp net change in length or soft-clipping, respectively. MAC [11] targeted MNV only by analyzing single nucleotide variant (SNV) calls of primary callers.

Here we present INDELseek, a software that directly calls somatic and germline complex indels from Sequence Alignment/Map (SAM/BAM) alignments regardless of net change in length.

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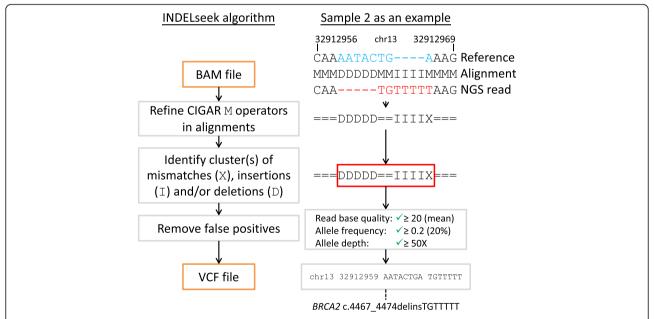
# **Implementation**

The INDELseek algorithm was implemented as a single Perl script indelseek.pl that scans each NGS read alignment and identifies closely spaced substitutions, insertions or deletions *in cis* as potential complex indel regardless of net change in length. The only external dependency is SAMtools version 1.3 or above [12], which supports sequencing depth exceeding 8000X in case of deep amplicon sequencing. It was tested on both CentOS Linux 5.5 and Cray XC30 supercomputer (Extreme Scalability Mode) and can be run on the built-in Perl 5 installation of any Linux/Unix-like environment. Alignments of NGS reads in the *de facto* SAM/BAM format [12] serve as input while any complex indel calls will be reported in variant call format (VCF) version 4.1 [13].

INDELseek was designed to identify complex indel(s) at single read level by examining each alignment as a whole (Fig. 1). In contrast, mainstream NGS variant callers examined each reference position across multiple alignments (also known as "pileup"), losing the haplotype information in case of multiple differences compared to reference. Mainstream NGS read aligners (e.g. BWA-MEM) usually align complex indels as multiple mismatches, insertions and/or deletions (Concise Idiosyncratic

Gapped Alignment Report (CIGAR) operations M, I and D, respectively) clustered within a short window of reference/read positions, which INDELseek was designed to detect. Since CIGAR operation M could represent either match or mismatch, it was first refined as operations = for match and X for mismatch. INDELseek considers each window fulfilling all of these criteria as a complex indel call: (1) containing at least two X, I and/or D operations that are at most l nucleotides away from each other; (2) length at least two nucleotides. The parameter l is five by default and is configurable through option —max\_distance. For enhanced specificity, false positives can be marked and/or removed based on configurable filters of read base quality, allele frequency and allele depth.

INDELseek parameters were —skip\_lowqual —skip\_lowdepth —skip\_lowaf —min\_af 0.2 for germline BRCA1 and BRCA2 mutations, --skip\_lowqual —skip\_lowdepth —skip\_lowaf —min\_af 0.02 for somatic CALR, JAK2 and KIT mutations, and —skip\_lowqual —skip\_lowdepth —skip\_lowaf —max\_distance 10 —min\_af 0.2 —min\_depth 20 for NA12878 whole-genome sequencing (WGS) dataset. A single CPU core (2010 Intel Xeon X5660 2.8GHz) was measured to be capable to process 56,000 alignments per minute (275 bp MiSeq sequencing reads).



**Fig. 1** INDELseek algorithm as illustrated by the *BRCA2* complex indel of sample 2. *Left:* INDELseek directly reads NGS read alignments in the standard SAM/BAM format. After refining matches and mismatches in the supplied alignments, clusters of closely spaced mismatches, insertions and/or deletions are identified as potential complex indel calls. False positives are removed according to filters based on read base quality, allele frequency and allele sequencing depth. Final complex indel calls are reported in the standard VCF format. *Right:* A representative BWA-MEM alignment of a sample 2 NGS read was shown. The corresponding reference sequence (chr13:g.32912956\_32912969) and base calls of the read were shown above the below the alignment, respectively. In the alignment refinement step, **M** operators were refined as matches (=) and mismatches (**X**). A cluster of closely spaced variants was identified as a potential complex indel call (highlighted as a red box). The complex indel call passed the defined quality thresholds and was reported as a variant call in VCF format, which corresponds to the *BRCA2* complex indel c.4467\_4474delinsTGTTTTT

## Results

We benchmarked complex indel detection performance of GATK, SAMtools and INDELseek using an external WGS dataset of the HapMap NA12878 genome (Illumina HiSeq 2000) and the corresponding high-confidence variant calls from the Genome in a Bottle (GIAB) Consortium [14]. Although the high-confidence variant calls did not comprise complex indels as individual calls, we observed clusters of closely spaced variants calls that appeared in cis in the alignments of individual sequencing reads. Accordingly, 160 such loci from GIAB calls were manually curated as putative complex indels (Additional file 1: Table S1) in the intersection (total length 27 Mb) of GIAB high confidence regions and Consensus Coding Sequence Project proteincoding sequences and 10 bp intronic flanking regions [15]. We also observed closely spaced SNV that appeared in trans in the alignments and 26 such loci were manually curated as negative controls for complex indel detection (Additional file 1: Table S2). SAMtools and GATK did not call any complex indel from the putative GIAB complex indels (0 of 160) and negative controls (0 of 26), demonstrating 0% sensitivity and 100% specificity. The results were concordant with recent studies that complex indels were mostly missed by bioinformatics pipelines based on common variant callers [3, 4]. INDELseek called all putative GIAB complex indels (160 of 160) and did not call any from negative controls (0 of 26), demonstrating 100% sensitivity and 100% specificity (Table 1). All three types of complex indels resulting in net deletion of bases, no net change in length, or net insertion of bases were detected (Fig. 2a, b, c, respectively). In the context of complex indel detection, the whole-alignment-based approach of INDELseek was demonstrated to be superior to the conventional "pileup" approach of common variant callers.

Next, we tested INDELseek using two different NGS datasets of PCR amplicons (Table 1). INDELseek was applied to a hereditary breast and/or ovarian cancer (HBOC) panel dataset of 239 probands [6]. The 4-gene panel targeted germline mutations (Illumina MiSeq). Prior Sanger sequencing revealed that three of the probands carried a unique pathogenic complex indel (BRCA1 n = 1and  $BRCA2 \ n = 2$ ) while remaining 236 probands were negative for complex indel. INDELseek detected all three complex indels (Table 2; Additional file 2: Figure S1-S3), demonstrating 100% sensitivity and 100% specificity. INDELseek was also applied to a myeloid neoplasm (MN) panel dataset of 23 samples [16]. The 54-gene panel targeted somatic mutations (Illumina MiSeq). From five samples known to carry a unique complex indel (CALR) n = 4 and JAK2 n = 1), INDELseek detected all five complex indels (Table 2; Additional file 2: Figure S4-S8).

The general applicability of INDELseek in complex indel detection was further assessed using a wider spectrum of complex indels, which showed different

**Table 1** Evaluation of INDELseek complex indel detection performance

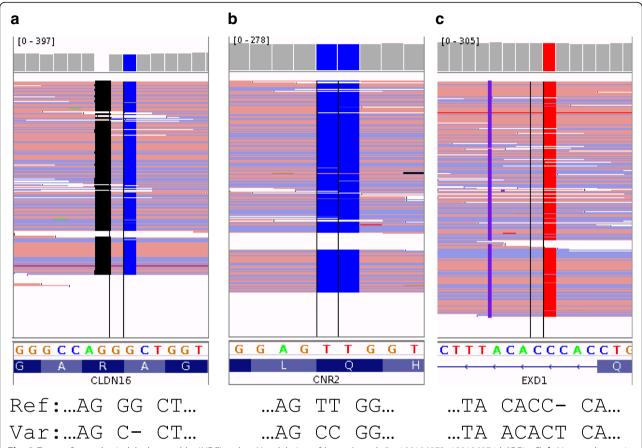
Dataset	Sample count and description	Sensitivity	Specificity				
Real NGS data							
1. Protein-coding and	1 (NA12878)	100%	100%				
flanking regions from whole-genome sequencing	160 putative complex indels						
(random fragments)	26 negative control loci						
2. Hereditary breast	239	100%	100%				
and/or ovarian cancer panel (amplicons)	3 positive samples (BRCA1 $n = 1$ , BRCA2 $n = 2$ )						
	236 negative samples						
3. Myeloid neoplasm	23	100%	100%				
panel (amplicons)	5 positive samples (CALR $n = 4$ , JAK2 $n = 1$ )	n = 4, = 1) ative samples 78 and 17					
	18 negative samples (NA12878 and 17 healthy controls)						
Semi-simulated data by er	ngineering mutations to	real NGS da	ata				
<ol> <li>Whole-genome sequencing (random fragments)</li> </ol>	8671 collected from COSMIC and dbSNP	93.7%	N/A				
<ol><li>Hereditary breast and/or ovarian cancer panel (amplicons)</li></ol>	237 collected from COSMIC and dbSNP	96.2%	N/A				
3. Myeloid neoplasm panel (amplicons)	576 collected from COSMIC and dbSNP	94.6%	N/A				
N/A Not applicable							

N/A Not applicable

combination of deletion and insertion lengths (375 combinations) and different gene context (>5000 genes). We collected 8671 unique complex indels from public databases dbSNP and COSMIC for semi-simulation by *in silico* engineering of complex indels in real NGS datasets. Base quality scores were kept unchanged or similar to flanking bases depending on the net gain in bases (0 or ≥1, respectively). NGS data of NA12878, a *BRCA1/BRCA2* complex indel-negative sample, and a healthy adult were selected for engineering from the WGS, HBOC and MN datasets, respectively. INDELseek demonstrated sensitivities of 93.7% (8124 of 8671) for WGS, 96.2% (228 of 237) for HBOC and 94.6% (545 of 576) for MN (Table 1).

As a discovery cohort, INDELseek was applied to an additional MN panel dataset of 10 core-binding factor leukemia samples that were clinically predicted to be enriched for somatic mutations of KIT exon 8 [17]. A total of 10 KIT in-frame complex indels were detected from six of the samples (1 - 4 complex indels per sample; Table 2) and verified by orthogonal validation experiments (Additional file 2: Figure S9-S14).

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**Fig. 2** Types of complex indels detected by INDELseek. **a** Net deletion of bases (e.g. chr3:g.190106073\_190106074delGGinsC). **b** No net change in length (e.g. chr1:g.24201919\_24201920delTTinsCC). **c** Net insertion of bases (e.g. chr15:g.41483633\_41483636delCACCinsACACT). Corresponding alignments of reference (Ref) and variant (Var) sequences are shown

To demonstrate the importance of accurate complex indel detection in clinical settings, we focused on 127 MNV in HBOC genes (part of semi-simulation collection) and compared their variant annotation results (Variant Effect Predictor) in two scenarios: (1) original MNV and (2) decomposing MNV into individual single-nucleotide variant for separate annotation, as if the MNV could not be called as a haplotype. Comparison revealed marked difference in 11 (8.7%) MNV, which showed gained (n = 5) or rescued (n = 6) protein-truncating effects (Table 3). Without accurate calling of complex indels, these MNV would become false negative or false positive pathogenic mutations, respectively. On the other hand, Variant Effect Predictor was tested to natively support complex indels called by INDELseek in VCF format.

## **Conclusions**

This study showed that common variant callers fail to detect complex indels, a finding consistent with recent studies [3, 4]. We also demonstrated that if complex indels were called as individual variant calls (e.g. breaking down a single MNV to multiple SNV), the gained or

rescued protein-truncating effects will be mis-interpreted. INDELseek was demonstrated as an accurate and versatile complex indel caller, which is compatible with somatic and germline genomics studies, NGS data of random fragments and PCR amplicons, and all three classes of complex indels (MNV, net insertion and net deletion). Since INDELseek was implemented as a single Perl script that directly reads SAM/BAM alignments and returns complex indel calls in VCF format, it can be readily incorporated into common bioinformatics workflows without any compilation and installation. INDELseek complements other common variant callers in academic and diagnostic NGS-based genomics studies.

# **Methods**

# Benchmarking based on reference material

High-confidence variants calls and chromosomal regions of NA12878 corresponded to the high-confidence genotype version 2.19 [14]. Closely spaced variant calls were identified by BEDTools version 2.19.1 [18] (parameters: merge — n —d 9). NA12878 200X whole genome sequencing dataset was retrieved from Illumina Platinum Genomes [19].

**Table 2** Complex indels detected by INDELseek in human clinical samples

Sample	Gene	Mutation	Allele frequency	Sequencing depth (X)	NGS method	Orthogonal validation
Germline	e pathog	enic mutations in hereditary breast and/or ovarian cancers				
1	BRCA1	c.4046_4047delinsA p.Thr1349Lysfs*17	37.9%	730	*	†
2	BRCA2	c.4467_4474delinsTGTTTTT p.Lys1489Asnfs*15	74.9%	1272	*	†
3	BRCA2	c.8400_8402delinsAAAA p.Phe2801Lysfs*11	33.6%	4141	*	†
Somatic	pathoge	nic mutations in myeloid neoplasms				
4	CALR	c.1102_1136delinsT p.Lys368Trpfs*51	40.8%	2274	‡	†
5	CALR	c.1154delAinsCTTGTC p.Lys385Thrfs*47	31.9%	2998	‡	†
6	CALR	c.1129_1154delinsTGTC p.Lys377Cysfs*46	73.6%	2159	‡	†
7	CALR	c.1118_1125delinsCTTG p.Asp373Alafs*56	15.3%	3603	‡	§
8	JAK2	c.1620_1627delinsGA p.lle540_Glu543delinsMetLys	57.7%	4629	‡	†
9	KIT	c.1248_1257delinsTTGG p.Thr417_Asp419delinsTrp	39.0%	11109	‡	*
10	KIT	c.1248_1256delinsTTTCCG p.Thr417_Asp419delinsPheArg	2.9%	13724	‡	*
	KIT	c.1249_1258delinsGGATGGAACT p.Thr417_Arg420delinsGlyTrpAsnTrp	3.3%	13651	‡	*
	KIT	c.1250_1258delinsAACCTC p.Thr417_Asp419delinsLysPro	11.9%	13525	‡	*
	KIT	c.1251_1258delinsCTCCT p.Tyr418_Arg420delinsSerTrp	2.1%	13376	‡	*
11	KIT	c.1250_1256delinsT p.Thr417_Asp419delinslle	5.7%	7326	‡	§
	KIT	c.1251_1257delinsAACA p.Tyr418_Asp419delinsThr	2.2%	7416	‡	§
12	KIT	c.1251_1256delinsGGG p.Tyr418_Asp419delinsGly	2.7%	14829	‡	*
13	KIT	c.1253_1258delinsCCG p.Tyr418_Arg420delinsSerGly	40.7%	68180	‡	*
14	KIT	c.1256_1257delinsGTCTA p.Asp419delinsGlyLeu	17.9%	19042	‡	*

<sup>\*</sup>Microfluidic PCR and MiSeq sequencing †Sanger sequencing

**Table 3** Gained or rescued protein-truncating effect of complex indels

Gene	Genomic position	Multiple-nucleotide variants (MNV)	Predicted protein change				
			MNV called as a haplotype	MNV called as separate single-nucleotide variants			
Gained protein-truncating effect							
BRCA2	13:32914101-32914102	c.5609_5610delTCinsAG	p.Phe1870*	p.Phe1870Tyr, p.Phe1870Leu			
BRCA1	17:41245984-41245987	c.1561_1564delGCAGinsTAAA	p.Ala521*	p.Asp522Asn, p.Ala521Glu, p.Ala521Ser			
BRCA1	17:41244552-41244553	c.2995_2996delCTinsTA	p.Leu999*	p.Leu999Gln, p.=			
TP53	17:7578486-7578488	c.442_444delGATinsTGA	p.Asp148*	p.Asp148Glu, p.Asp148Gly, p.Asp148Tyr			
TP53	17:7578286-7578287	c.562_563delCTinsTA	p.Leu188*	p.Leu188Gln, p.=			
Rescue	Rescued protein-truncating effect						
TP53	17:7579366-7579368	c.319_321delTACinsCAA	p.Tyr107Gln	<b>p.Tyr107*</b> , p.Tyr107His			
TP53	17:7578535-7578536	c.394_395delAAinsTG	p.Lys132Trp	p.Lys132Arg, <b>p.Lys132*</b>			
TP53	17:7578433-7578434	c.496_497delTCinsGG	p.Ser166Gly	<b>p.Ser166*</b> , p.Ser166Ala			
TP53	17:7578426-7578431	c.499_503delinsTACCT	p. Gln167_His168delinsTyrLeu	p.His168Leu, p.Gln167His, <b>p.Gln167*</b>			
TP53	17:7578210-7578212	c.637_639delCGAinsTGG	p.Arg213Trp	p.=, <b>p.Arg213*</b>			
TP53	17:7577508-7577509	c.772_773delGAinsTT	p.Glu258Leu	p.Glu258Val, <b>p.Glu258*</b>			

Bold text indicates predicted protein truncation

<sup>‡</sup>Probe extension/ligation and MiSeq sequencing §PCR fragment analysis

NA12878 myeloid neoplasm panel dataset (Illumina Tru-Sight myeloid panel) was retrieved from Illumina BaseSpace [20]. GATK HaplotypeCaller version 3.6 [7] and SAMtools version 1.3 [12] with default parameters were used for variant calling. Concordance comparison of variant calls was assisted by vcfeval tool of RTG Tools version 3.6.2 [21].

# Germline complex indel detection in breast and/or ovarian cancers

A total of 239 clinically high-risk breast and/or ovarian cancer patients from Hong Kong Hereditary and High Risk Breast Cancer Programme were selected for this study. Patients were recruited from January 18, 2007 to December 2, 2015 according to previously described criteria [6]. Three patients carrying germline complex indel mutation in either BRCA1 or BRCA2 (confirmed by Sanger sequencing) were regarded as positive controls. Another 236 patients either carrying pathogenic mutation other than complex indel or not carrying any pathogenic mutation in BRCA1 and BRCA2 (confirmed by full gene Sanger sequencing) were regarded as negative controls. Complex indel detection by INDELseek was performed on BWA-MEM (version 0.7.7) alignments of MiSeq NGS data of full BRCA1 and BRCA2 genes [6]. The definition of full BRCA1 and BRCA2 genes, sequencing methods, analysis methods and partial results were reported previously [6].

# Somatic complex indel detection in myeloid neoplasms

Twenty-two archival DNA samples were retrieved in Hong Kong Sanatorium & Hospital from May 12, 2014 to February 3, 2016. Five of the DNA samples carried somatic pathogenic CALR or JAK2 complex indels and were regarded as positive controls. Remaining seventeen DNA samples of healthy adults with normal complete blood profile were regarded as negative controls as described [16]. Ten core-binding factor leukemia DNA samples were retrieved from Queen Mary Hospital, Hong Kong from January 2003 to December 2014 as a discovery cohort of KIT exon 8 mutations. A total of 32 DNA samples were screened by MiSeq sequencing of a 54-gene myeloid NGS gene panel as described [16, 17]. Complex indel detection by INDELseek was performed on BWA-MEM (version 0.7.7) alignments of MiSeq NGS data of CALR, JAK2 and KIT (exon 8 only).

# In silico engineering of known complex indels to real NGS data

Known complex indels were collected based on VCF files from COSMIC v71 release [22] and dbSNP b146 release [23]. MutationEngineer was developed to engineer mutation into described real NGS data. Input is the variant of interest and NGS read alignments (VCF and SAM formats, respectively) and output is the engineered read alignments

(SAM format) for conversion to FASTQ sequencing reads. Variant allele frequency of complex indel was engineered to be 100%. Each complex indel was engineered as a separate set of FASTQ reads, which were analyzed in the same way as real NGS data. Variants were annotated using Variant Effect Predictor version 75 [24]. Semi-simulation was performed on a Cray XC30 supercomputer.

# Orthogonal validation

BRCA1 and BRCA2 complex indels were confirmed by conventional PCR and Sanger sequencing [6]. CALR and JAK2 complex indels were confirmed by conventional PCR and Sanger sequencing or conventional PCR fragment analysis [5, 16]. KIT exon 8 complex indels were confirmed by conventional PCR fragment analysis [16] or microfluidic PCR followed by MiSeq sequencing [25]. The primers used in these validation studies were different from those used in the original NGS datasets (Additional file 1: Table S3).

# Reference sequences

Human reference genome sequence: GRCh37/hg19, *BRCA1*: NM\_007294.3, *BRCA2*: NM\_000059.3, *TP53*: NM\_000546.4, *PTEN*: NM\_000314.4, *CALR*: NM\_004343.3, *KIT*: NM\_000222.2 and *JAK2*: NM\_004972.3. Variants were described according to the recommendations of Human Genome Variation Society (HGVS) [26]. Variant descriptions were checked by Mutalyzer Name Checker [26].

# **Availability and requirements**

The authors declare that the data supporting the findings of this study are available within the article and its supplementary information files. Primary sequencing data of clinical samples are available on request from the corresponding author ESKM. The sequencing data are not publicly available due to them containing information that could compromise research participant privacy or consent.

Project name: INDELseek

Project home page: https://github.com/tommyau/indelseek/

Operating system(s): Unix-like (Linux, Mac OS X)

Programming language: Perl

Other requirements: SAMtools 1.3 or higher License: free for non-profit and academic use.

# **Additional files**

**Additional file 1: Table S1.** Putative complex indels curated from GIAB high-confidence variant calls (n = 160). **Table S2.** Closely spaced SNV *in trans* curated from GIAB high-confidence variant calls (n = 26). **Table S3.** Primer sequences for orthogonal validation. (PDF 564 kb)

**Additional file 2: Figures S1-S14.** Complex indels detected in samples 1–14 and orthogonal validation. (PDF 1637 kb)

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#### Authors' contributions

ESKM and TLC conceived the study. AK, ESKM and AYHL collected samples. CHA, TLC and ESKM analyzed the data. CHA developed the bioinformatics software and drafted the manuscript. All authors read and approved the final manuscript.

#### Competing interests

The authors declare that they have no competing interests.

#### Consent for publication

Not applicable.

#### Ethics approval and consent to participate

The study involving breast and/or ovarian cancers was approved by the Institutional Review Board of the University of Hong Kong/Hospital Authority West Cluster and other contributing hospitals in Hong Kong. The study involving myeloid neoplasms was approved by the Research Ethics Committee of Hong Kong Sanatorium & Hospital (reference number: REC-2015-02) and The University of Hong Kong/Hong Kong West Cluster (reference number: UW 14–639). All participants gave written informed consent; with the exception that informed consent was not needed for the use of pre-existing de-identified archival DNA samples (22 samples from Hong Kong Sanatorium & Hospital and 10 samples from Queen Mary Hospital for the study involving myeloid neoplasms).

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